



# Stochastic Neural Networks For HRL

Carlos Florensa, Yan Duan, Pieter Abbeel Conference paper at ICLR 2017

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### Before beginning...

- Meta Learning Shared Hierarchies
  - <a href="https://sites.google.com/site/mlshsupplementals/">https://sites.google.com/site/mlshsupplementals/</a>

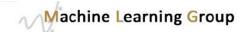




#### Contents

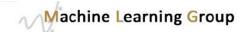
- 1. Methodology
  - 1) Constructing the pre-training environment
  - 2) SNN (Stochastic Neural Networks)
  - 3) Information-Theoretic Regularization
  - 4) Learning High-level policies
  - 5) Policy Optimization
- 2. Experiment and Result
- 3. Discussion and Future Work





## 1.1) Constructing the pre-training env

- Letting the agent freely interact with the environment in a minimal setup.
- Rather than setting different reward to the desired skills, use generic single reward as the only reward signal to guide skill learning.
- But it is inefficient that training each policy from scratch.
- So,
  - First issue: using Stochastic Neural Networks as policies
  - Second issue: adding an information-theoretic regulrizer.



#### 1.2) SNN (Stochastic Neural Networks)

 To learn several skills at the same time, propose to use Stochastic Neural Networks (SNNs).

Use simple categorical distributions with uniform weights for

the latent variables.

• K is the hyper parameter that upper bounds of #skills.

Allows flexible weight-sharing.

Feedforward Neural Network

Feedforward Neural Network  $s = z \sim Cat(\frac{1}{K})$ (a) Concatenation

(b) Bilinear integration

Figure 1: Different architectures for the integration of the latent variables in a FNN

• To further encourage the diversity of skills learned by the SNN, introduce an information theoretic regularizer.



#### 1.3) Information-Theoretic Regularization

- Add an additional reward bonus:
  - Mutual information (MI) between latent variable and current state.
- Let current state as c = (x, y), center of mass of mobile robot,
  - MI: I(Z; C) = H(Z) H(Z|C)
  - H(Z) is constant, since pdf of Z (latent variable) is fixed in training.
  - $H(Z|C) = -\mathbb{E}_{z,c} \log p(Z = z|C = c)$ , so
  - $R_t^n \leftarrow R_t^n + \alpha_H \log \hat{p}(Z = Z^n | c_t^n)$ , n denote estimating factor.
  - Also, to estimate  $\hat{p}$ , calculate  $m_c(z)$  how many time cell c is visited during latent code z is sampled.
  - $\hat{p}(Z = z | (x, y) \sim \hat{p}(Z = z | c) = \frac{m_c(z)}{\sum_{z'} m_c(z')}$



## 1.4) Learning high-level policies

- How to use learned K skills that is learned during pre-training.
- Freeze them and training high-level policy, that operates by selecting a skill for a fixed step T.
- The factored representation of the state space  $S^M$ :  $S_{agent}$  and  $S^M_{rest}$ .

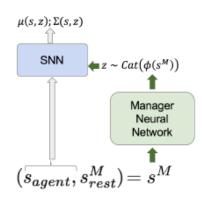


Figure 2: Hierarchical SNN architecture to solve downstream tasks





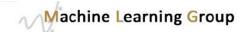
## 1.5) Policy Optimization

#### Algorithm 1: Skill training for SNNs with MI bonus

```
Initialize: Policy \pi_{\theta}; Latent dimension K; while Not trained do

| for n \leftarrow 1 to N do
| Sample z_n \sim \operatorname{Cat}\left(\frac{1}{K}\right);
| Collect rollout with z_n fixed;
end

| Compute \hat{p}(Z = z | c) = \frac{m_c(z)}{\sum_{z'} m_c(z')};
| Modify R_t^n \leftarrow R_t^n + \alpha_H \log \hat{p}(Z = z^n | c_t^n);
| Apply TRPO considering z part of the observation;
end
```



- Experiments
  - In benchmark by Duan et al. (2016)

    Benchmarking deep reinforcement learning for continuous control.
    - Locomotion + Maze and Locomotion + Food Collection
  - $S_{agent}$ : the robot
  - $S_{rest}^{M}$ : task specific attributes (walls, goals, and sensor readings)

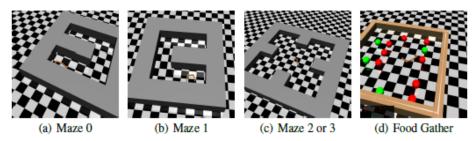
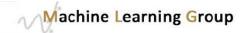
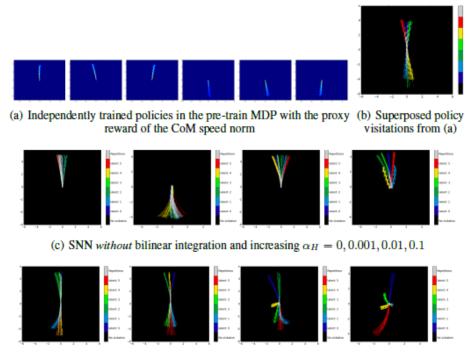


Figure 3: Illustration of the sparse reward tasks





- Locomotion Experiments
  - Solely reward speed.
- Skill learning in pre-train (visitation plots in swim learning environment)



(d) SNN with bilinear integration and increasing  $\alpha_H = 0, 0.001, 0.01, 0.1$ Figure 4: Span of skills learn by different methods and architectures





- Hierarchical use of skills
  - a. Exploration drawn from Gaussian (Duan et al. (2016))
  - b. It train six policies independently. The policies heavily concentrates on up-down ward.
  - c. d. yields a wider coverage of the psace.

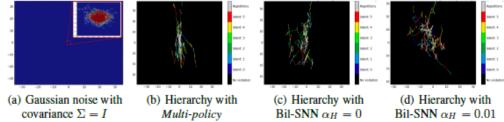


Figure 5: Visitation plots for different randomly initialized architectures (one rollout of 1M steps). All axis are in scale [-30,30] and we added a zoom for the Gaussian noise to scale [-2,2]





- Mazes and Gather tasks
  - To better baseline, adding to the CoM proxy reward in pre-training.
  - a. b. c.
    poor performance, due to
    the long time-horizon needed to reach the goal.
    Furthermore, the proxy reward alone does not encourage diversity.

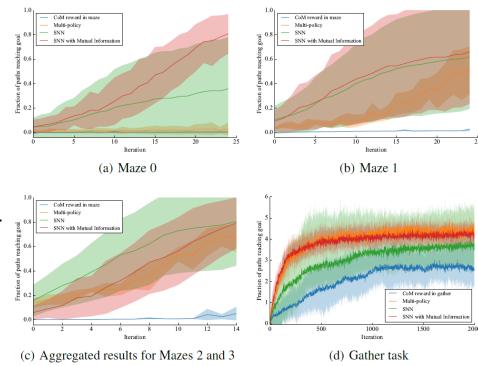


Figure 6: Faster learning of the hierarchical architectures in the sparse downstream MDPs

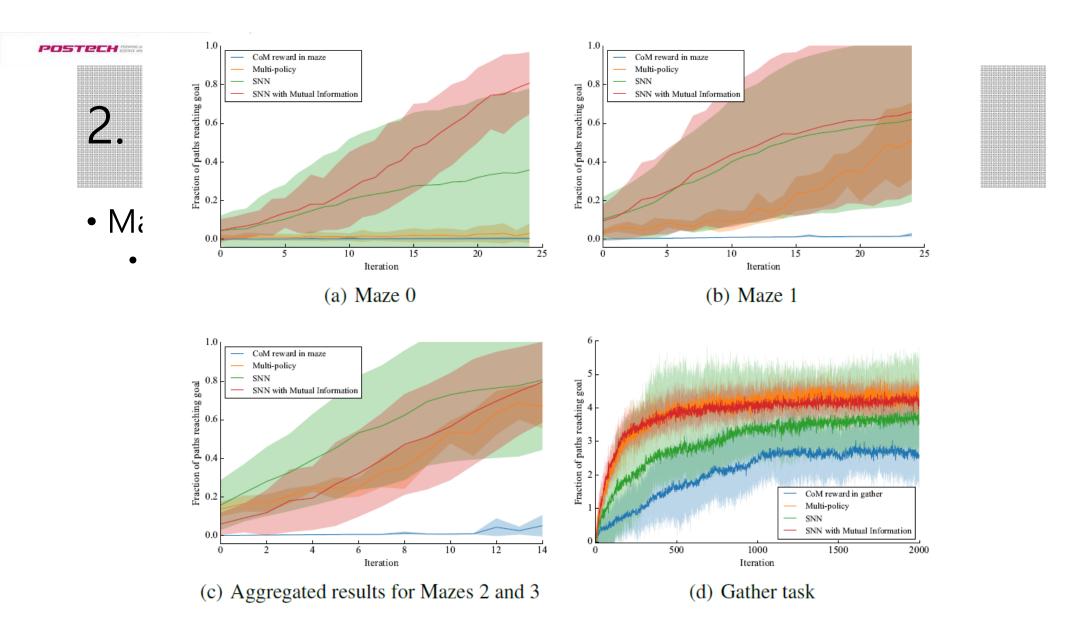
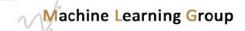


Figure 6: Faster learning of the hierarchical architectures in the sparse downstream MDPs





- Mazes and Gather tasks
  - d. To fairly compare, experiment in exact setting, maximum path length of 500 and batch-size of 50k.

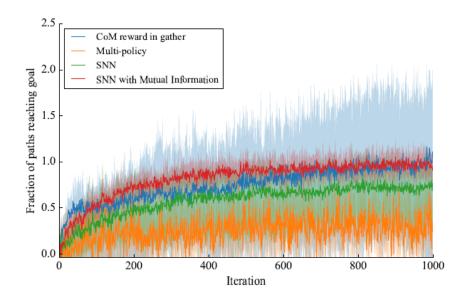


Figure 7: Results for Gather environment in the benchmark settings



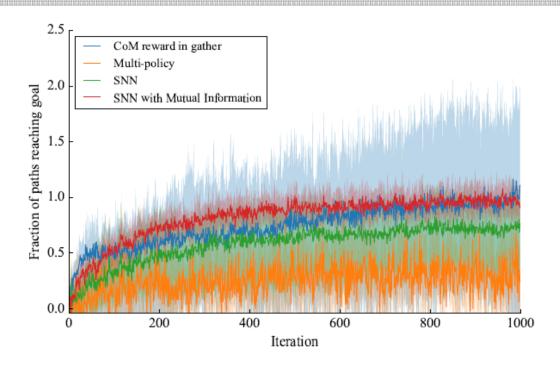
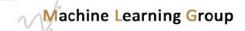


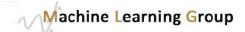
Figure 7: Results for Gather environment in the benchmark settings





http://bit.ly/snn4hrl-videos





- Analysis of the switch time T
  - a. b. more frequently switch, more better in gather task.
  - c. d. no meaningful difference in maze task.

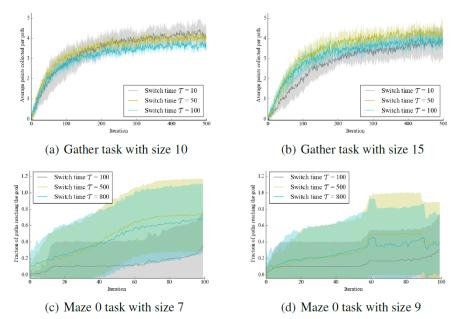


Figure 11: Mild effect of switch time  $\mathcal{T}$  on different sizes of Gather and Maze 0

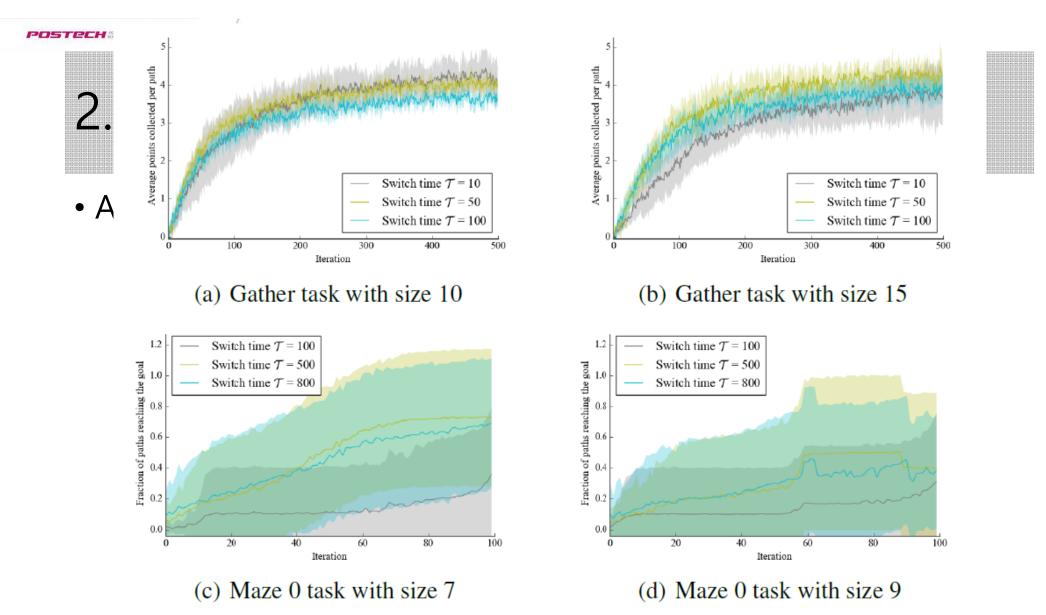


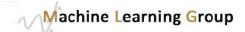
Figure 11: Mild effect of switch time  $\mathcal{T}$  on different sizes of Gather and Maze 0



• Ant



Figure 13: Ant



- Ant
  - Skill learning in pre-train

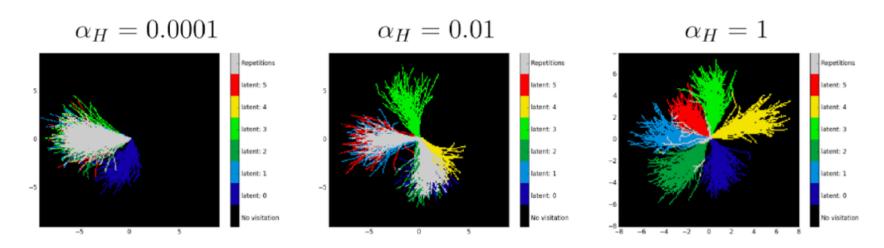


Figure 14: Pretrain visitation for Ant with different MI bonus





- Ant
  - Failure modes for unstable robots.

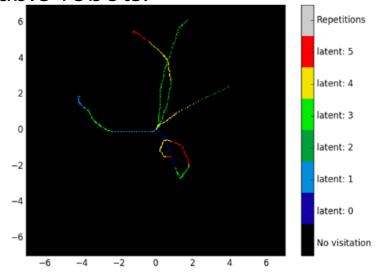


Figure 15: Failure mode of Ant: here 5 rollouts terminate in less than 6 skill switches.



#### 3. Discussion and Future works

 The bilinear integration and the mutual information bonus are key to consistently yield a wide, interpretable span of skills.

#### Limitations

- the switching between skills for unstable agents (ant).
- Having fixed sub-policies and a fixed switch time T.
- Only use feedforward architectures.





# Thank you!